



12-29-03

2161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicants: Powell et al.  
Application Number: 09/899,357  
Filing Date: 07/05/2001  
Title: Commerce Exchange System  
Group Art Unit: 2161  
Examiner: Not yet known  
Attorney Docket: Imany/Exchange

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Signature of person mailing correspondence:

  
Sunshine S.E. Limanni

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AND PTO  
FORM 1449**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Sir,

Pursuant to the duty of disclosure (37 C.F.R. 1.97 and 1.98), attached hereto are:

1. An Information Disclosure Statement (PTO 1449) (7 pages) listing 64 documents (64 documents total);
2. A copy of the cited documents; and
3. A return postcard.

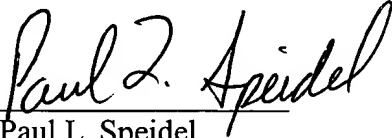
Because the documents are in the English language, a concise explanation of the relevance is not provided. Because this paper is being filed prior to the 1<sup>st</sup> Office Action, no fee is believed to be due at this time.

However, should the PTO determine that a fee is required; the PTO is hereby authorized to charge such fee, and any other required fee to Lucash Gesmer & Updegrove PTO Deposit Account No. 122315.

If additional information is required, you are invited to immediately contact the undersigned via telephone or facsimile at the numbers listed below. Thank you for your attention to this matter.

Respectfully submitted,

Date: December 24, 2003

  
Paul L. Speidel  
Reg. No. 52,239  
Lucash, Gesmer & Updegrove, LLP  
Boston, MA 02109  
Tel: (617) 350 6800  
Fax: (617) 350 6878

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Substitute for form 1449/PTO

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 7

***Complete if Known***

Application Number	09/899,357
Filing Date	July 5, 2001
First Named Inventor	Powell et al.
Art Unit	2161
Examiner Name	Not yet known
Attorney Docket Number	Imany/Exchange

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner's Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 6
		Country Code <sup>2</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)				
		WO 00/29974	05/25/2000	Conklin et al.		
		WO 02/37738 A2	05/10/2002	Tradeaccess, Inc.		
		WO 02/48834 A2	06/20/2002	Tradeaccess, Inc.		
		WO 02/048834 A3	06/20/2002	Tradeaccess, Inc.		
		WO 02/056134 A2	07/18/2002	Tradeaccess, Inc.		
		WO 02/069074 A2	09/06/2002	Tradeaccess, Inc.		
		WO 02/069074 A3	09/06/2002	Tradeaccess, Inc.		

Examiner Signature		Date Considered	
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WFO Standard 31.10 if possible. Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Application Number</b>	09/899,357
		<b>Filing Date</b>	07/05/2001
		<b>First Named Inventor</b>	Powell et al.
		<b>Art Unit</b>	2161
		<b>Examiner Name</b>	Not yet known
		<b>Attorney Docket Number</b>	Imany/ Exchange
Sheet	2	of	7

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article (when appropriate), title of item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	T <sup>2</sup>
	✓	"Compliance Tools Help Ease Regulatory Burden", KM World, Systems Consulting Company, v. 8, April 1999	
	✓	"Installation and Training Policy for CARS™/Rebates", Systems Consulting Company, January 1995	
	✓	"SCC's 5 <sup>th</sup> Annual User Conference", Systems Consulting Company, 11/11/1996	
	✓	"Rally to Boston For SCC's 5 <sup>th</sup> Annual User Conference", Systems Consulting Company, 11/11/1996	
	✓	"CARS®/IS Getting Started Guide", Systems Consulting Company", Inc., January 1998	
	✓	J. King, "Drug Maker Simplifies Deals", Computerworld, v. 32 no. 39, 09/28/1998	
	✓	K. O'Rourke, "The Expanding Partnership Role of Information Services at Sanofi", Pharmaceutical Executive, March 1999	
	✓	"SCC Introduces Incentive-Driven Analytic Applications", AMR Research, 03/23/1999	
	✓	"SCC: Connecting the Industry", Systems Consulting Company, 1996	
	✓	"The Leading Provider of Sales and Contract Performance Management Solutions", Channel Services Group, 1998	

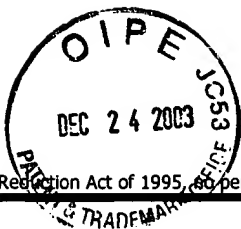
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Complete if Known</b>	
	Application Number	09/899,357
	Filing Date	07/05/2001
	First Named Inventor	Powell et al.
	Art Unit	2161
	Examiner Name	Not yet known
Sheet 3 of 7	Attorney Docket Number	Imany/ Exchange

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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	✓	"SI Impact Awards", Systems Consulting Company, April 1999	
	✓	"CARS <sup>TM</sup> /Rebates Users Guide Version 2.2", Systems Consulting Company, September 1994	
✓		"SCC Releases CARS® /IS - The New Standard For Pharmaceutical Contract Management Software", Systems Consulting Company, 7/22/1996	
		"Genentech Selects CARS® /IS", Systems Consulting Company, 9/20/1996	
	✓	"SCC Releases CARS® /IS Upgrade Version 1.1", Systems Consulting Company, 2/10/1997	
	✓	"SCC Introduces Chargebacks: CARS® /IS Chargebacks Component Is Unveiled", Systems Consulting Company, 5/08/1997	
	✓	"SCC Launches CARS® /IS V1.2 Featuring Chargebacks – The Newest Sales Agreement Automation Application", Systems Consulting Company, 10/14/1997	
	✓	"SCC Announces General Availability of CARS® /Medicaid – Life Sciences Industry Leader Addresses Growing Need To Manage Medicaid Processes", Systems Consulting Company, 12/15/1998	
	✓	"Solvay Pharmaceuticals, Inc. Upgrades Medicaid Claims Processing with SCC's CARS®/Medicaid", Systems Consulting Company, 12/13/1999	
	✓	"SCC Expands Customer Base to Include Diagnostic Industry - CARS®/IS Provides Contract Management Solution for Diagnostic and Device Companies", Systems Consulting Company, 2/26/1998	

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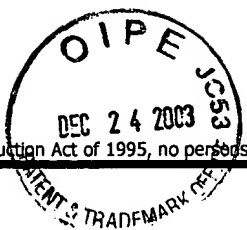
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Substitute for form 1449B/PTO

**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Application Number	09/899,857
Filing Date	07/05/2001
First Named Inventor	Powell et al.
Art Unit	2161
Examiner Name	Not yet known
Attorney Docket Number	Imany/ Exchange

Sheet 4 of 7

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article (when appropriate), title of item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	T <sup>2</sup>
	✓	"SCC Previews News Solutions For The Health Care Supply Chain at ProTech '98", Systems Consulting Company, 6/05/1998	
		"SCC and Siebel Systems Team to Deliver High Value Solutions – New Class of Enterprises Solutions for the Pharmaceutical Industry", Systems Consulting Company, 7/28/1998	
	✓	"Ozro Receives Notices of Allowance for Three Additional Breakthrough Patents", Ozro 7/30/2001, <a href="http://www.eyedeas.net/clients/ozro/news/index.cfm">www.eyedeas.net/clients/ozro/news/index.cfm</a>	
	✓	"The Best In The Business", Systems Consulting Company, Solutions Intergrator, Vol. 3, No. 8, August 1999,	
	✓	C. Sliwa, "Managing Contracts", Computerworld, Vol. 33, No 21, 5/24/1999	
	✓	C. Haley, "SCC Puts Contract-Handling Software In The Hands of Pharmaceutical Firms", Mass High Tech, Vol. 17, Issue 13, 3/29/1999	
	✓	"I-many Unveils Web's First B2B Exchange Focused on Managing Big-Money Purchasing Contracts", Systems Consulting Company, 2/14/2000	
	✓	"I-many™ Announces the Release of CARS®/Medicaid V6.0", Systems Consulting Company, 1/31/2000	
	✓	"I-many – Over 400 Participants Make Summit99 a Huge Success for SCC's Clients and Partners", Systems Consulting Company, 12/20/1999	
	✓	"I-many – SCC and Clarkston-Potomac Announce Partnership to Develop CARS®/ERP XChange", Systems Consulting Company, 5/24 1999	

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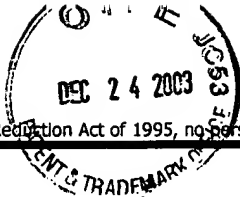
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Substitute for form 1449B/PTO

**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Application Number	09/899,357
Filing Date	07/05/2001
First Named Inventor	Powell et al.
Art Unit	2161
Examiner Name	Not yet known
Attorney Docket Number	Imany/ Exchange

Sheet 5 of 7

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

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	✓	"I-many – New Software Solution Provides Outcome-Driven Trade Promotion Management for Consumer Goods Industry" Systems Consulting Company, 3/22/1999	
	✓	"I-many – SCC and MicroStrategy Announce CARS®/Analytics", Systems Consulting Company, 3/22/1999	
	✓	"I-many – Premier Selects SCC's CARS®/IS to Manage Contracting Relationships", Systems Consulting Company, 03/02/1999	
	✓	S. Deck, "Database Accelerates Data", Computerworld, Vol. 32, No. 50, 12/14/1998	
	✓	J. Tascher, "Linux Ex Machina, OS Crystal Ball Says" PCWeek, Vol. 15, No. 50, 12/14/1998	
	✓	"CARS®/IS Overview", Systems Consulting Company, 7/1999	
	✓	"CARS®/IS: Technical Information", Systems Consulting Company, 7/1999	
	✓	"CARS®/IS Processing Module: Automated Conditions" Systems Consulting Company, 7/1999	
	✓	"CARS®/IS Processing Module: Utilization Rebates", Systems Consulting Company, 7/1999	
	✓	"CARS®/IS Processing Module: Purchase Rebates", 7/1999	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 6 of 7

**Complete if Known**

Application Number	09/899,357
Filing Date	07/05/2001
First Named Inventor	Powell et al.
Art Unit	2161
Examiner Name	Not yet known
Attorney Docket Number	Imany/ Exchange

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		"CARS®/IS Processing Module: Chargebacks", Systems Consulting Company, 7/1999	
		"CARS®/IS Application Base", Systems Consulting Company, 7/1999	
		"CARS®/IS Electronic Membership", Systems Consulting Company, 7/1999	
		"Case Study – Sanofi", Systems Consulting Company" 7/1999	
		"CARS®/Analytics", Systems Consulting Company, 7/1999	
		"CARS®/Analytics: Technical Information", Systems Consulting Company, 7/1999	
		"CARS®/Analytics: Recommended Architecture", Systems Consulting Company, 7/1999	
		"CARS®/Medicaid", Systems Consulting Company, 7/1999	
		"CARS®/Medicaid - Value Checklist", Systems Consulting Company, 7/1999	
		"CARS®/Medicaid: Technical Information", Systems Consulting Company, 7/1999	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 7 of 7

***Complete if Known***

<b>Application Number</b>	09/899,357
<b>Filing Date</b>	07/05/2001
<b>First Named Inventor</b>	Powell et al.
<b>Art Unit</b>	2161
<b>Examiner Name</b>	Not yet known
<b>Attorney Docket Number</b>	Imany/ Exchange

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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